

# Chapter 21 1

## Assessing Habituation Phenotypes in Adult Zebrafish: Intra- and Inter-Trial Habituation in the Novel Tank Test 2 3

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### Abstract 9

Although adult zebrafish are increasingly utilized as a model organism in neurobehavioral research, their habituation responses have only recently been evaluated in detail. When exposed to a novel environment, zebrafish demonstrate marked habituation responses, similar to the behavioral response of rodents. Representing an adaptive response to novelty and a simple form of spatial memory, both intra- and inter-session habituation can be easily assessed in adult zebrafish using novelty-based paradigms, such as the novel tank test. Alterations in zebrafish habituation can also be evoked by pharmacological manipulations, collectively representing a useful tool for drug screening and behavioral phenotyping. Here, we outline a simple protocol for evaluating zebrafish intra- and inter-session habituation to novelty in the novel tank test. 10  
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**Key words:** Zebrafish, Intra-session habituation, Inter-session, Behavioral phenotyping, Novel tank test 18  
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### 1. Introduction 20

Habituation is an important adaptive behavior (1, 2) representing a reduction of responses to novelty over time (3). As the simplest form of learning (3), habituation has been extensively assessed in numerous species from invertebrates to rodents and humans (4–8). Due to various internal and external factors affecting behavior, there is considerable variation in habituation responses among 21  
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27 different species (9–11). In rodents, e.g., habituation is commonly  
28 measured by alterations in distance traveled and horizontal or ver-  
29 tical beam breaks over time (9, 12–16).

30 Zebrafish have become increasingly popular in biomedical  
31 research due to their low maintenance costs, rapid reproductive  
32 cycle, ease of acclimation, and robust behavioral phenotypes  
33 (17–21). Zebrafish behavior was initially thought to lack higher  
34 cognitive ability and to display predominantly instinctively driven  
35 escape reactions (rather than active exploration of new environ-  
36 ments) (3). However, recent studies have revealed the greater  
37 complexity of adult zebrafish behavior, as they are capable of creat-  
38 ing spatial memories (20, 22, 23) and exhibit robust habituation  
39 responses (3) (also see habituation in larval models (24)).

40 The present protocol outlines a simple method for studying two  
41 types of habituation in adult zebrafish: intra-session (within-trial)  
42 and inter-session (between-trial) habituation, which reflects short-  
43 term and longer-term memory, respectively. Depending on the study  
44 design, different experimental (e.g., pharmacological) manipulations  
45 may also be used to modify zebrafish habituation phenotypes.

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## 46 2. Materials and 47 Methods

### 47 2.1. Animal Housing

48 Adult zebrafish (e.g., 3–5 months old, ~50:50 male:female ratio)  
49 can be obtained from a commercial vendor or raised in-house. Fish  
50 can be separated by sex in order to assess sex differences in behav-  
51 ioral testing or pharmacological treatment. Fish can be housed in  
52 commercial aquatic systems (e.g., Aquatic Habitats, Apopka, FL)  
53 or in groups of 20–30 per 40-L tank, and should be given approxi-  
54 mately 20 days to acclimate. The zebrafish are kept in filtered facil-  
55 ity water at room temperature (~25°C) with pH maintained at  
56 7.0–8.0. Ceiling-mounted fluorescent light tubes can provide illu-  
57 mination in the holding and testing rooms. Animals are typically  
58 fed twice a day (e.g., Tetramin Tropical Flakes, Petco Inc., San  
59 Diego, CA) and are kept on a 14:10 h schedule (e.g., light on at  
6:00 h; off at 20:00 h).

### 60 2.2. Apparatus

61 Testing can be performed in the trapezoidal novel tank (e.g., 15  
62 height×28 top×22 bottom×7 cm width; Aquatic Habitats,  
63 Apopka, FL) resting on a level surface with the tank maximally  
64 filled with water (see Fig. 1a) (25, 26). A horizontal line is drawn  
65 across the middle of the tank to divide it into two equal sections  
66 (3, 26). Importantly, when assessing inter-session habituation over  
67 a period of several days, the apparatus should remain in the same  
68 location to ensure consistent lighting conditions (17). Habituation  
69 assays can be performed under normal lighting conditions of the  
70 holding and testing rooms (see above).

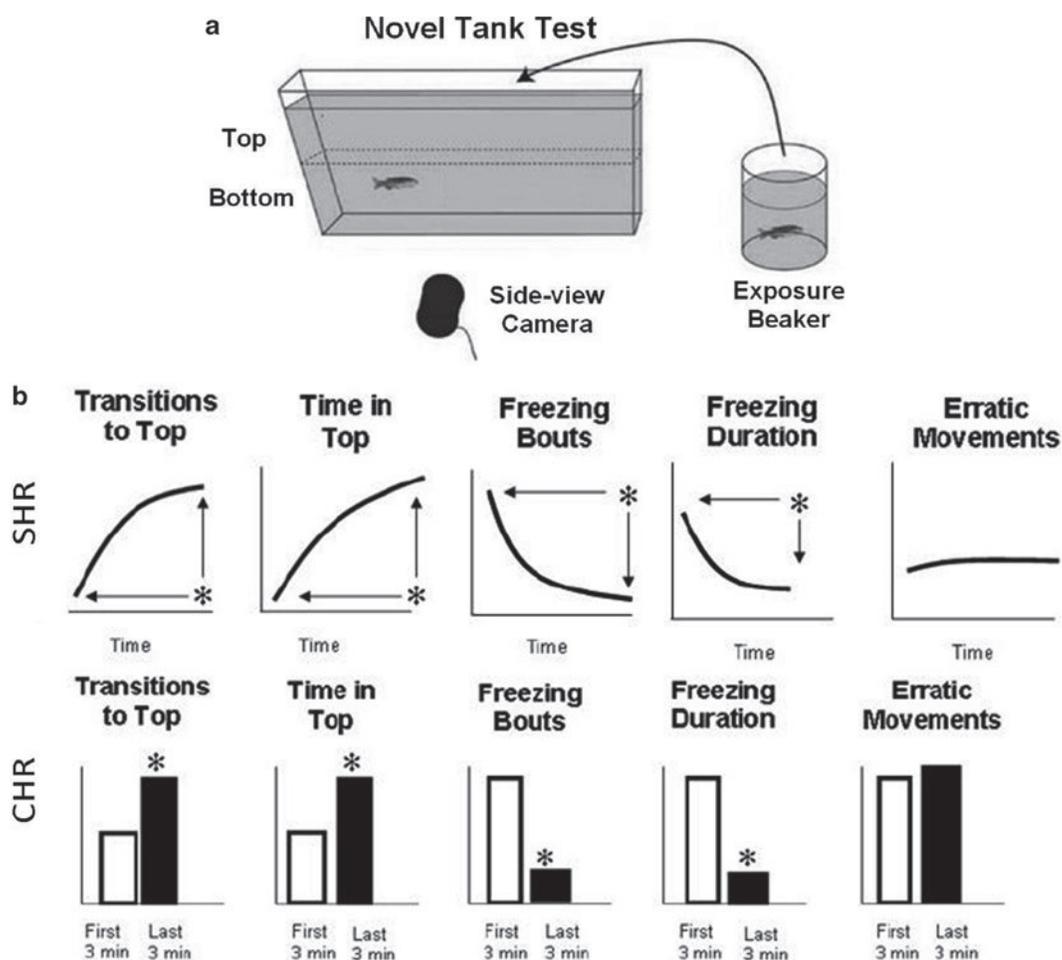


Fig. 1. The experimental set-up (a) and typical results (b) for a 6-min novel tank intra-session habituation experiment. (a) The novel tank apparatus, the exposure beaker (where pharmacological treatment occurs), and the side-view web-camera. (b) Typical habituation responses in the novel tank test (endpoints are given in relative units, for a better visual representation); asterisks denotes significant habituation over time as assessed by the single-minute habituation ratio (SHR; top row) or the cumulative habituation ratio (CHR, bottom row; paired *U*-test). Note the lack of significant differences in erratic movements over time (based on (3); also see Tables 1–2).

### 2.3. Experimental Manipulations

Zebrafish habituation can be studied using various experimental manipulations. Tables 1 and 2 summarize examples of habituation responses in zebrafish to several drugs, including ethanol, morphine, caffeine, fluoxetine, and pentylentetrazole (PTZ). Anxiogenic responses may be evoked with caffeine and PTZ, while anxiolytic effects may be tested with ethanol, morphine, and fluoxetine (26). Other psychotropic drugs (such as a memory-enhancing agent piracetam, see (27)) can also be tested in this model, and their doses and exposure time can be based on previous published literature or pilot studies.

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**Table 1**  
**Examples of the effects on intra-session habituation in adult zebrafish (compared to control groups) produced by the anxiogenic drugs caffeine and pentylenetetrazole (PTZ) in the 6-min novel tank test**

		Habituation (see the definition of the endpoints in the methods section)					
Drug (dose and exposure time)	Transitions to top		Time spent in top		Erratic movements		
	SHR	CHR	SHR	CHR	SHR	CHR	
Caffeine (100 mg/L for 15 min)	Similar habituation in both groups; no difference in SHR	Similar habituation in both groups; no difference in CHR	Similar habituation in both groups; no difference in SHR	Similar habituation in both groups; no difference in CHR	Habituation is absent in controls, and impaired in experimental group; decreased SHR	Habituation is absent in controls, and impaired in experimental group; decreased CHR	
Pentylenetetrazole (900 mg/L for 10 min)	Habituation is absent in experimental, but not control group; no difference in SHR	Habituation is absent in experimental, but not control group; no difference in CHR	Habituation is absent in experimental, but not control group; no difference in SHR	Habituation is absent in experimental, but not control group; no difference in CHR	Habituation is absent in controls, and facilitated in experimental group; increased SHR	Habituation is absent in controls, and facilitated in experimental group; increased CHR	

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Single-minute habituation ratio (SHR) is defined as (min 1):(min 6) ratio for each individual endpoint; cumulative habituation ratio (CHR) is defined as the sum of (min 1–3):sum of (min 4–6) scores for each individual endpoint (e.g., see Fig. 1b), based on (3)

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**Table 2**  
**Examples of the effects on intra-session habituation in adult zebrafish (compared to control groups) produced by various anxiolytic agents in the 6-min novel tank test**

Drug (dose and exposure time)	Habituation					
	Transitions to top		Time spent in top		Erratic movements	
	SHR	CHR	SHR	CHR	SHR	CHR
Acute ethanol (0.3% for 5 min)	Similar habituation in both groups; no difference in SHR	Similar habituation in both groups; no difference in CHR	Similar habituation in both groups; no difference in SHR	Similar habituation in both groups; no difference in CHR	Habituation is absent in both experimental and control groups; no difference in SHR	Habituation is absent in both experimental and control groups; no difference in CHR
Chronic ethanol (0.2% for 14 days)	Similar habituation in both groups; no difference in SHR	Similar habituation in both groups; no difference in CHR	Similar habituation in both groups; no difference in SHR	Similar habituation in both groups; no difference in CHR	Habituation is absent in both experimental and control groups; no difference in SHR	Habituation is absent in controls, and facilitated in increased CHR
Chronic flouxetine (100 µg/L for 14 days)	Habituation is facilitated in experimental group when compared to controls; increased SHR	Similar habituation in both groups; no difference in CHR	Habituation is facilitated in experimental group when compared to controls; increased SHR	Similar habituation in both groups; no difference in CHR	Habituation is absent in both experimental and control groups; no difference in SHR	Habituation is absent in both experimental and control groups; no difference in CHR
Morphine (2 mg/L for 15 min)	Similar habituation in both groups; no difference in SHR	Similar habituation in both groups; no difference in CHR	Similar habituation in both groups; no difference in SHR	Similar habituation in both groups; no difference in CHR	Habituation is absent in both experimental and control groups; no difference in SHR	Habituation is absent in both experimental and control groups; no difference in CHR

Legend as in Table 1; based on (3)

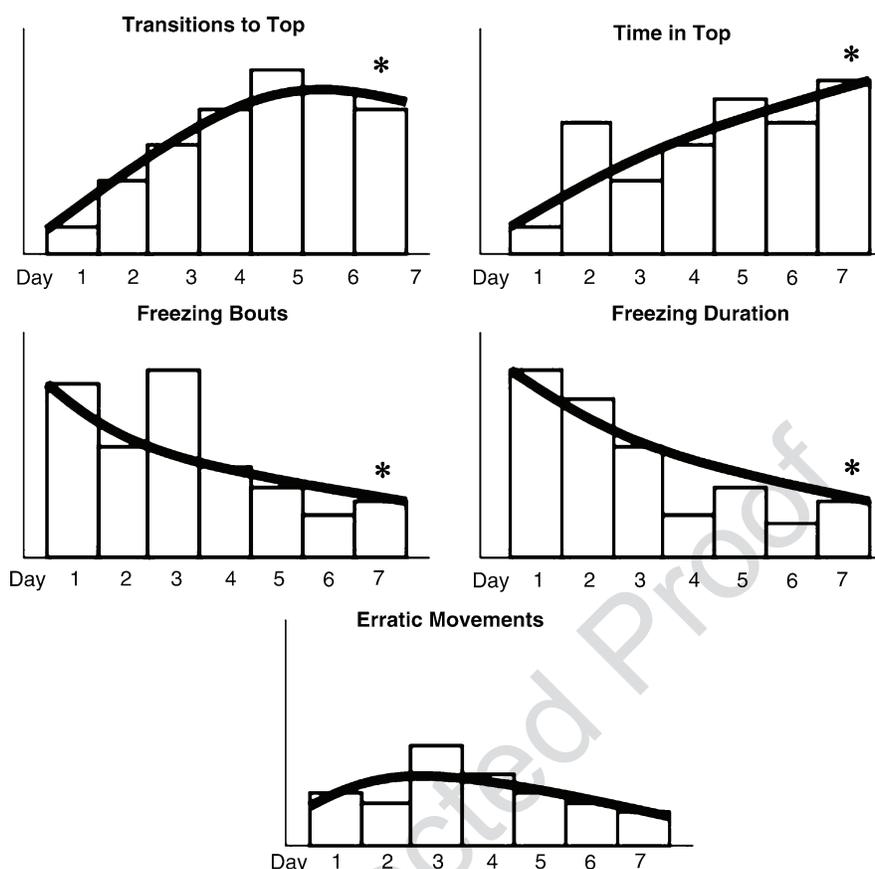


Fig. 2. Typical results for 7-day inter-session habituation experiment in the novel tank test (on 6-min trial per day). The *solid line* indicates alterations in habituation over time (endpoints are given in relative units, for a better visual representation). There was no significant habituation in erratic movements over time (*asterisks* denotes a statistically significant difference of day 1 vs. day 7 by paired *U*-test), based on (3).

80 **2.4. Intra- and**  
81 **Inter-Session**  
82 **Habituation**

For both the control and experimental groups, either an intra- or inter-session assay can be performed. Intra-session assay examines the habituation profiles of fish within a single trial (e.g., 6 min). Inter-session paradigm assesses long-term habituation over a series of 6 min novel tank trials repeated daily (e.g., for 7 days). The same endpoints can be used for both types of habituation tests (Fig. 2; see Sect. 4.4 for troubleshooting).

87 **3. Procedure**

88 **3.1. Acclimation and**  
89 **Pretreatment**

- 90 1. Transport animals from the holding room to the experimental room 1 h prior to testing using nets and a preexperimental container that is isothermal with the home tank. Be sure to

minimize handling during transport, because this may cause 91  
undesired increases in baseline anxiety levels in the fish. The 92  
water used in the novel tank must be at the same temperature 93  
as that of the home tank and of the preexperimental container. 94  
Note that facility water may be drawn the night before to allow 95  
proper acclimation to room temperature. 96

2. Depending on the experiment's objectives, fish may be treated 97  
with pharmacological agents acutely or chronically prior to 98  
testing. Acutely exposed zebrafish can be placed in a plastic 99  
beaker (e.g., 1–3 L, Fig. 1a) for a specific pretreatment time 100  
(e.g., 5–15 min). Chronically exposed zebrafish can be treated 101  
with the drug in the home tank for 1–2 weeks. Note that since 102  
some drugs may hydrolyze in water (e.g., fluoxetine), exposure 103  
tanks may need to be changed and re-dosed every 2–3 days 104  
during chronic treatment. Drug treatments are prepared by 105  
researchers separate from the experiment (so that the experi- 106  
menters are blind to treatment). A good inter-rater and intra- 107  
rater reliability for the observers is usually set out  $>0.85$ , as 108  
assessed by Spearman correlation coefficient. 109

### 3.2. Novel Tank Testing

1. Following pretreatment, gently introduce the fish into the novel 110  
tank test apparatus. The fish is observed for 6 min, manually 111  
scoring transitions to the top of the tank, time spent in the top 112  
of the tank (s), freezing bouts (absence of movement except for 113  
gills for at least 2 s), freezing duration (s), and erratic movement 114  
(abrupt changes in direction or speed). Additionally, video- 115  
tracking software (e.g., Ethovision XT7, Noldus IT, Netherlands) 116  
can be used in this test to complement manual observations, 117  
further assessing endpoints such as distance traveled, average 118  
velocity, turning angle, and angular velocity (28, 29). If assess- 119  
ing inter-session habituation, novel tank testing is performed 120  
daily for several days (e.g., 7 days), at the same time each day. 121  
After testing, return fish to their respective holding tanks. 122

### 3.3. Habituation Analysis

1. Intra-session habituation is assessed for every endpoint 123  
(Fig. 1b) by comparing the first minute and the last minute 124  
(single-minute habituation ratio, SHR) as well as the first 3 min 125  
and the last 3 min (cumulative habituation ratio, CHR) of each 126  
trial (3). It is advantageous to assess habituation using both 127  
SHR and CHR indices, because these two measurements 128  
together minimize the errors in habituation data. While SHR 129  
is a more robust and sensitive measure, it is also more prone to 130  
skewing the data. For example, if a disturbance in the testing 131  
area or any behavioral irregularity occurs during the first or last 132  
minute, the SHR is likely to be affected. Using CHR in parallel 133  
minimizes this risk by ensuring data collection from several 134  
minutes, and although CHR is less sensitive than SHR, it is less 135  
likely to skew the data due to an artifact. Similar to intra-session 136

137 habituation, inter-session habituation is evaluated by compar-  
138 ing the first trial (e.g., Day 1) and the last trial (e.g., Day 7)  
139 (see Sect. 4.1 for locomotion troubleshooting) (3).

### 140 **3.4. Statistical** 141 **Analysis**

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1. For a single-cohort study, in order to globally assess the pres-  
ence or absence of habituation, the data can be analyzed with  
a two-sample unpaired or paired Wilcoxon *U*-test for signifi-  
cance either between the groups or vs. the initial observation  
time (e.g., min 1 vs. min 6; Fig. 1b). Two-way ANOVA (fac-  
tors: time, group) or one-way ANOVA with repeated measures  
(time or trials) can be used more universally, for the intra- and  
inter-session habituation analyses in studies using several dif-  
ferent cohorts, followed by a post-hoc *U*-test (with Bonferroni  
correction) or any other appropriate post-hoc test.

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## 150 **4. Notes**

### 151 **4.1. Zebrafish** 152 **Locomotion Is** 153 **Abnormally Low or** 154 **High**

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Ensure that zebrafish have had adequate time to acclimate to test-  
ing room. Other factors, such as differences in water temperature  
or excessive net stress prior to testing, can markedly reduce fish  
locomotion. Increased locomotion is also possible, e.g., if the  
zebrafish are nonanxious or hyperactive. If this becomes a recurring  
problem, consider a different strain of zebrafish for the experiment,  
as differing levels of baseline motor activity exist between strains.  
For example, high-anxiety zebrafish strains (e.g., leopard strain  
(26)) demonstrate heightened freezing behavior and reduced  
exploration and therefore may exhibit decreased locomotion.

### 161 **4.2. High Variability of** 162 **Habituation Responses**

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While zebrafish habituation is a typical natural response, high data  
variability is rather common in biobehavioral research (10, 11),  
including habituation studies. Genetic influences, animal stress, and  
testing room conditions (e.g., temperature, soundproofing, or  
lighting) must be taken into account and standardized throughout  
the experiments. Increasing the cohort size could also reduce data  
variability. A recommended cohort size for acquiring statistically  
significant data using this protocol is 12–15 adult zebrafish, although  
the sample size may be increased to 20–25 fish, if needed.

### 170 **4.3. Lack of** 171 **Habituation Responses**

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High anxiety strains or certain pharmacological manipulations may  
require a longer trial duration to reveal habituation responses. For  
example, extending the trial to 30–60 min may be helpful to solve  
this problem. Factors that may confound the trial should also be  
considered. Specifically, excessive handling stress or rapid move-  
ments and loud noise made by the experimenter during testing  
may startle the fish and cause excessive freezing and/or positive

	geotaxis (the preference for the bottom of the tank) which would confound habituation responses.	177 178
<b>4.4. Zebrafish Show Robust Inter-Session Habituation, But Fail to Exhibit Intra-Session Response (or Vice Versa)</b>	While this may be a normal phenotype depending on the drug or battery of tests used, care should be taken to rule out stressful factors. For example, in addition to robust habituation responses, zebrafish also possess adequate learning and memory, and can recall training for up to 10 days (18). Therefore, it is possible that fish may habituate very quickly within a single trial (intra-session habituation), but will demonstrate minimal responses with subsequent testing. Extending the trial duration (e.g., 30 min) or increasing the sample size may improve the assay sensitivity (this can be especially relevant when testing the effects on memory by nootropic drugs, or other drugs with cognition-enhancing capabilities; e.g., (27)). Conversely, fish may exhibit an overt inter-session response, but fail to habituate within a single trial. While this may be an accurate response (e.g., specific impairment of spatial working memory) to a particular experimental manipulation, it is recommended to demonstrate that this phenotype is not due to a heightened baseline anxiety (e.g., by using an additional low/moderate-anxiety strain such as wild-type/long-fin fish).	179 180 181 182 183 184 185 186 187 188 189 190 191 192 193 194 195 196
<b>4.5. Drug Treatments (Rather Than Habituation) Nonspecifically Affect Behavior and Locomotion</b>	While habituation is measured by change in locomotor activity, pharmacological treatments may affect animal locomotion, motor control, and/or buoyancy. To minimize the chance of drug treatments distorting habituation behavior, precise and appropriate doses must be determined from pilot studies or established literature. These doses should have minimal effects on motor control and buoyancy, and should be appropriate for assessing various behavioral endpoints. Habituation is a learning process that shows gradual change across (or within) trials, so a sharp change in behavioral results may indicate a problem with pharmacological treatment in the experiment.	197 198 199 200 201 202 203 204 205 206 207
<b>4.6. An Alternative Approach: Using Control Groups to Assess Learning</b>	Although control groups are utilized in all experiments in this protocol that involve pharmacological treatments, another type of control may also be used. The control and drug-treated fish in our protocol are both placed in the novel tank test to measure change in behavior, which is then assessed as habituation. Including a control group that does not undergo the novel tank test, and measuring the change in behavior of this group, may show change due to development or naturally occurring phenomena (as opposed to behavioral testing and/or pharmacological treatment) (see (30) for details). By including a control group that received no experimental or pharmacological treatment, baseline learning conditions can be assessed and compared with learning conditions of the tested zebrafish, thereby providing further distinction between different behavioral domains in question.	208 209 210 211 212 213 214 215 216 217 218 219 220 221

222 **4.7. Labeling and**  
223 **Recognizing Fish**  
224 **When Testing Over**  
225 **Multiple Days**

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When testing for habituation in adult zebrafish across multiple days, it is crucial that specific cohorts or individuals be recognizable, so that testing may proceed with the same organisms as previously. When using medium- to large-sized groups (e.g.,  $n=12$  or  $n=25$ ), each cohort exposed to a specific pharmacological treatment or behavioral test must be housed together in an appropriately labeled tank for easy identification. If using smaller groups, it may be possible to label and identify *individual* zebrafish as separate from each other. The most obvious method is to house fish individually. However, this would require multiple tanks (which is impractical) and may also induce an unwanted isolation stress. Alternatively, fin-clipping may be used, involving severing, removing or marking the dorsal, caudal or anal fins for identification (larger fins usually regenerate following amputation (31)). Note that while demonstrating habituation in individual organisms may yield important findings, fin-clipping and any other methods that isolate or disturb individual zebrafish will likely affect locomotion and/or increase anxiety, thereby confounding habituation testing results.

240 **5. Typical Results**

241 **5.1. Habituation**  
242 **Responses Over**  
243 **Specific Time**

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Throughout the 6-min intra-session habituation trials in the novel tank test, a significant increase in exploratory behavior and decrease in freezing behavior is typically observed (Fig. 1b). Erratic movements generally show no significant changes over time, suggesting that erratic behavior does not habituate. The 7-day inter-session trials usually show similar results, with gradual increases in exploratory behavior and decreases in freezing behavior (Fig. 2).

248 **5.2. Habituation**  
249 **Responses**  
250 **to Anxiogenic Drug**  
251 **Treatment**

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To observe the effects of anxiogenic drugs on habituation patterns, zebrafish can be exposed to agents, such as caffeine and PTZ. Caffeine-treated zebrafish show similar habituation (vs. controls) for transitions to top and time in top, and impaired habituation of erratic movements, with decreased SHR and CHR scores for this endpoint. The latter phenotype is strongly consistent with an anxiogenic profile, since the erratic behavior not only failed to habituate (as it does in controls) but also showed an increase over time, demonstrating caffeine-induced impairment of habituation. In contrast, PTZ-treated zebrafish (unlike controls) exhibit impaired habituation for transitions to top and time in top, also showing more erratic movements (Table 1).

260 **5.3. Habituation**  
261 **Responses**  
262 **to Anxiolytic Drug**  
263 **Treatment**

The effects of anxiolytic drugs on zebrafish habituation can be tested with acute ethanol, chronic ethanol, fluoxetine, and morphine treatments (Table 2). Acute ethanol can lead to unaltered habituation behavior, while chronic ethanol can lead to an increase

264 in habituation and CHR for the erratic movements endpoint.  
 265 Fluoxetine causes an increase in habituation for transitions to top  
 266 and time in top (and SHR). In contrast, like acute ethanol, mor-  
 267 phine at doses tested did not elicit marked changes in zebrafish  
 268 habituation (Table 2), despite being effective in reducing anxiety  
 269 responses (3).

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270 **6. Summary**

271 Here, we have outlined a simple method to assess habituation to  
 272 novelty in adult zebrafish. As the testing time elapses, zebrafish  
 273 generally increase their exploration and reduce freezing behavior.  
 274 In contrast, erratic behavior has not been shown to habituate in  
 275 adult zebrafish. The habituation response of adult zebrafish is also  
 276 sensitive to pharmacological manipulations, including both anxi-  
 277 olytic and anxiogenic agents (Tables 1 and 2), producing results as  
 278 effectively as current testing methods traditionally used to study  
 279 habituation in rodents (3).

280 Overall, the in-depth assessment of habituation profiles can be  
 281 used to study the effects of pharmacological agents to determine  
 282 whether various manipulations improve or hinder habituation.  
 283 Similar to rodents (9, 32–34), impaired habituation in zebrafish  
 284 can be viewed as a failure to adapt to a novel environment, which  
 285 is relevant to anxiety (2) and other complex disorders, such as  
 286 schizophrenia (35), depression (36), or cognitive deficits (3). Such  
 287 analyses can also be useful for testing various inbred and mutant  
 288 zebrafish strains (which may display aberrant habituation), offering  
 289 a simple method to foster the discovery of novel anxiolytic and/or  
 290 memory-modulating treatments.

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Uncorrected Proof